



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/802,093	03/08/2001	Shintaro Asano	12565-036	4936
37462	7590	08/13/2004	EXAMINER	
LOWRIE, LANDO & ANASTASI RIVERFRONT OFFICE ONE MAIN STREET, ELEVENTH FLOOR CAMBRIDGE, MA 02142			WILSON, YOLANDA L	
		ART UNIT		PAPER NUMBER
				2113

DATE MAILED: 08/13/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	09/802,093	ASANO, SHINTARO
	<b>Examiner</b>	<b>Art Unit</b>
	Yolanda Wilson	2113

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 19 July 2004.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 12, 13 and 17-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) 12, 13 and 17-21 is/are allowed.
- 6) Claim(s) 22-30 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All
  - b) Some \*
  - c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____.
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____.	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____.

**THIRD ACTION NON-FINAL**

***Allowable Subject Matter***

1. Claims 12,13,17-21 are allowed.
2. The following is a statement of reasons for the indication of allowable subject matter: The reasons for allowing claims 12,13,17-21 is the inclusion of the limitation recording the termination of the transfer of electronic data and the decoupling of the first and second computers.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 22-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Yu (USPN 5764903). As appears in claim 22, Yu discloses receiving an electronic message at a second computer sent over a network by the first computer, wherein the second computer is located remotely from the first computer and the storage device and the message indicates that the first computer is prepared to start a data back-up process; sending an electronic message from the second computer to the first computer to instruct the first computer to start the data back-up process; using the second computer monitoring over the network the data back-up process being performed by the

first computer; and sending a message from the second computer indicating a status of the data back-up process in column 6, line 42 – column 7, line 15.

5. As per claim 23, Yu discloses sending a message includes indicating that the data back-up process was not successful in column 6, line 63 – column 7, line 15.

6. As per claim 24, Yu discloses sending an electronic message from the second computer to the first computer instructing the first computer to repeat the data back-up process in column 6, lines 42-56.

7. As per claim 25, Yu discloses sending a message to an administrator of the first computer indicating that the data back-up process was not successful in column 7, lines 9-15.

8. As per claim 26, Yu discloses sending a message to an administrator includes sending a message over the network from the second computer to the first computer in column 7, lines 9-15.

9. As per claim 27, Yu discloses a network computer having a network connection to couple the network computer to a network, the network computer being programmed to: receive an electronic message sent over the network by the remote computer, wherein the message indicates that the remote computer is prepared to start a data back-up process; send an electronic message to the remote computer to instruct the remote computer to start the data back-up process; monitor the data back-up process being performed by the remote computer; and send a message indicating a status of the back-up process in column 6, line 42 – column 7, line 15.

10. As per claim 28, Yu discloses the network computer is programmed to detect an error in the data back-up process and send a message indicating that the data back-up process was not successful in column 6, line 63 – column 7, line 15.

11. As per claim 29, Yu discloses the network computer is further programmed to send an electronic message from the second computer to the first computer instructing the first computer to repeat the data back-up process in column 6, lines 42-56.

12. As per claim 30, Yu discloses the network computer is further programmed to send an electronic message to the first computer indicating repairs to be performed to correct the error in the back-up process column 7, lines 9-15.

### ***Response to Arguments***

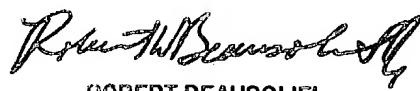
13. Applicant's arguments, see pages 6-7 of the amendment filed July 19, 2004, with respect to claims 12,13,17-21 have been fully considered and are persuasive. The finality of the previous rejection sent on March 11, 2004 has been withdrawn.

14. Applicant's arguments filed July 19, 2004 have been fully considered but they are not persuasive. The arguments concerning claims 22-30 are not persuasive in view of applying a new reference Yu (USPN 5764903) to claims 22-30; therefore arguments pertaining to the prior art used in the previous rejection are no longer applicable.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yolanda Wilson whose telephone number is (703) 305-3298. The examiner can normally be reached on M-F (7:30-4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Beausoliel can be reached on (703) 305-9713. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



ROBERT BEAUSOLIEL  
SR. ADVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100